

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/772,764	02/04/2004	Michael Hogendijk	NVS-1040	5704
35023 LUCE, FORW	7590 04/03/2007 ARD, HAMILTON & SCR	RIPPS LLP	EXAM	INER
11988 EL CAN	NO REAL, SUITE 200		BUI, VY Q	
SAN DIEGO,	CA 92130		ART UNIT	PAPER NUMBER
			3734	
SHORTENED STATUTOR	RY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE	
3 MO	NTHS	04/03/2007	PAPER	

# Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

	Application No.	Applicant(s)				
Office Action Commence	10/772,764	HOGENDIJK ET AL.				
Office Action Summary	Examiner	Art Unit				
	Vy Q. Bui	3734				
The MAILING DATE of this communication appointment of the second seco	ears on the cover sheet	with the correspondence address				
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	TE OF THIS COMMUING(a). In no event, however, may ill apply and will expire SIX (6) Managed the application to become	NICATION. a reply be timely filed  ONTHS from the mailing date of this communication. ABANDONED (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on	_•	•				
, <u> </u>	action is non-final:					
3) Since this application is in condition for allowan	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under E	closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims	_					
4) Claim(s) 1-20 is/are pending in the application.						
4a) Of the above claim(s) 17-20 is/are withdraw	4a) Of the above claim(s) <u>17-20</u> is/are withdrawn from consideration.					
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-16</u> is/are rejected.	☑ Claim(s) <u>1-16</u> is/are rejected.					
7) Claim(s) is/are objected to.						
8) Claim(s) 17-20 are subject to restriction and/or	r election requirement.					
Application Papers						
9) The specification is objected to by the Examiner.						
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the Ex	aminer. Note the attact	ned Office Action of form P10-152.				
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:  1. Certified copies of the priority documents 2. Certified copies of the priority documents	s have been received.					
3. Copies of the certified copies of the prior		en received in this National Stage				
application from the International Bureau  * See the attached detailed Office action for a list		not received				
* See the attached detailed Office action for a list	of the certified copies i	iot received.				
	•					
Attachment(s)						
1) Notice of References Cited (PTO-892)	, —	w Summary (PTO-413) No(s)/Mail Date				
<ul> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>3) Information Disclosure Statement(s) (PTO/SB/08)</li> <li>Paper No(s)/Mail Date <u>2/4/2004</u>.</li> </ul>	·	of Informal Patent Application				

Application/Control Number: 10/772,764

Art Unit: 3734

#### **DETAILED ACTION**

#### Election/Restrictions

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- I. Claims 1-16, drawn to a catheter, classified in class 606, subclass 108.
- Claims 17-20, drawn to a method for delivering a prosthesis, classified in class623, subclass 1.11.

The inventions are distinct, each from the other because of the following reasons:

Inventions I and II are related as product and process of use. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product. See MPEP § 806.05(h). In the instant case the product as claimed can be used to expand a body lumen.

Because these inventions are independent or distinct for the reasons given above and there would be a serious burden on the examiner if restriction is not required because the inventions have acquired a separate status in the art due to their recognized divergent subject matter, restriction for examination purposes as indicated is proper.

During a telephone conversation with the applicant's attorney, Mr. Regis Worley on 3/26/2007 a provisional election was made without traverse to prosecute the invention of group I, claims 1-16. Affirmation of this election must be made by applicant in replying to this Office action.

Application/Control Number: 10/772,764

Art Unit: 3734

Claims 17-20 are withdrawn from further consideration by the examiner, 37 CFR 1.142(b), as being drawn to a non-elected invention.

### Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1 and 11 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 1 and 11 recite a catheter for delivering a vascular prosthesis within a body vessel, the vascular prosthesis having a radially self-expanding distal section and a proximal helical section in the preamble of the claims. In the body of the claims, the limitation "vascular prosthesis" is not positively recited as a structural limitation of the claimed invention. It is not clear whether or not the claimed invention includes a combination of "a vascular prosthesis having a radially self-expanding distal section and a proximal helical section" and a catheter.

Claims 2-10 and 12-16 are dependent to claims 1 and 11, therefore are also rejected for the same reason.

### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

Application/Control Number: 10/772,764

Art Unit: 3734

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-8, 10-15 are rejected under 35 U.S.C. 102(b) as being anticipated by Limon-6,273,910.

As to claims 1-8, 10-15, Limon-'910 (Figs. 1, 2 and 10; col. 8, lines 36-53) discloses a balloon catheter for an angioplasty procedure comprising elongated member 38, balloon 40 having ridges/collards/polymer layers/shoulders/raised features 43 as means for engaging the distal section of a vascular prosthesis, sheath 46 substantially as recited in the claims.

It appears that a vascular prosthesis is not positively recited in the claims and the claims just require that the device is capable of being used with a vascular prosthesis in a manner as recited in the claims.

#### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 9 and 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Limon-6,273,910.

Limon-'910 discloses substantially the claimed invention, except for radiopaque markers positioned as claimed. However, providing radiopaque markers to a catheter for monitoring the operation of the catheter in a patient body is well known in the art. It would have been obvious

Art Unit: 3734

to one of ordinary skill in the art to provide radiopaque markers as claimed for monitoring the operation of the catheter in a patient body.

### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Vy Q. Bui whose telephone number is 571-272-4692. The examiner can normally be reached on Monday-Tuesday and Thursday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Hayes can be reached on 571-272-4959. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <a href="http://pair-direct.uspto.gov">http://pair-direct.uspto.gov</a>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

03/20/2017

Primary Examiner Art Unit 3734